



Stadia Financial, LLC
Customer Service Office
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October 1, 2025

PRIVACY NOTICE ENCLOSED

Thank you for choosing us for your financial needs. Enclosed is a copy of our Privacy Notice, which we are required by law to send you each year.

We value the relationship we have with you and are committed to protecting your information. The Privacy Notice describes our policies and practices regarding how we safeguard this information. If you have questions about the Privacy Notice, please call us at (800) 587-4796. We are available Monday through Friday between 8:00 a.m. and 5:00 p.m. Pacific standard time.



Privacy Notice

Our Commitment to You

Stadia Financial values your business and your trust. We respect the privacy of your personal information and take our responsibility to protect it seriously. This Privacy Policy outlines how we collect, use, disclose, and safeguard your personal information when you engage with our financial products and investment advisory services. Please read this policy carefully to understand our practices regarding your data and how we treat it.

Protecting Your Personal Information

We maintain physical, electronic, and procedural safeguards to protect your personal information. The people authorized to access your personal information need it to do their jobs, and we require and verify that your information is secure and confidential.

Personal Information We Collect

We may collect various types of personal and non-personal information, including but not limited to:

- Personal information including name, address, email address, phone number, date of birth, social security number, financial information, investment objectives, risk tolerance, and other relevant information necessary to properly serve you.
- Information from interactions including communications, transactions, and other correspondences we may have with you in the normal course of business.

How We Use Your Information

We use your personal information for various business purposes including:

- Providing advisory services: To analyze your financial situation, investment goals, and risk tolerance to offer appropriate investment advice.
- Communication: To respond to inquiries, provide updates about your investments, and share relevant market insights.
- Compliance: To fulfill legal and regulatory requirements, including anti-money laundering (AML) and know-your-customer (KYC) obligations.
- Improving services: To enhance our advisory offerings, website, and client interactions based on your feedback.

Sharing of Information

We respect your privacy. We do not lend, rent, or sell your information. We may share your information internally and with affiliate entities as necessary to deliver our services to you and for the express purpose of enhancing the quality of and delivery of our services.

- Service providers: We may engage with trusted third-party service providers reasonably required to assist us in delivering our services, subject to confidentiality agreements.
- Legal requirements: We may disclose your information to comply with applicable laws, regulations, legal processes, or government requests.
- Business transactions: In the event of a merger, acquisition, or sale of assets, your information may be transferred to the relevant parties.

Questions

We reserve the right to modify or update this notice. If you have any question about how we protect, use, and share your personal information please call us at 1-800 587-4796.